

# What Is AI?

Module 2 of a course on *Ethical Issues in AI*

*Prepared by*

**John Hooker**

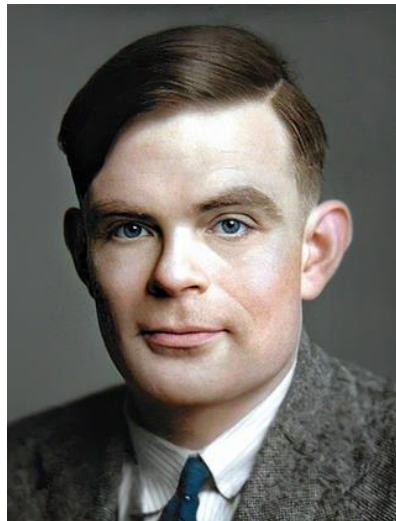
*Emeritus Professor, Carnegie Mellon University*

Chautauqua, June 2024

# What is the essence of AI?

- Technology that enables machines to **simulate human intelligence**.
  - *Idea behind the **Turing test**.*
    - But this doesn't tell us what human intelligence **is**.

Alan Turing  
1912-1954



# What is the essence of AI?

- Technology that can solve **unstructured problems**.
  - According to ***Herbert Simon***, one of the founders of AI.
    - Few, if any, AI applications achieve this
    - We now speak of **AGI** (artificial general intelligence) as the **next** goal.



Herbert Simon, 1916-2001

# AI hype

- Decades of overpromising
  - **1960:** *Herbert Simon predicts:*
    - “Machines will be capable, within **20 years**, of **doing any work a man can do.**”
  - **1970s:** *Marvin Minsky predicts:*
    - “In from **3 to 8 years** we will have a machine with the **general intelligence of an average human being.**”
  - **1993:** *Vernor Vinge predicts:*
    - “Within **30 years**, we will have the technological means to create **superhuman intelligence**. Shortly thereafter, the human era will be ended.”



# AI hype

- Claiming to use AI can **boost stock price**
  - *But it may be existing technology in a new wrapper.*



What is 'AI washing?' Companies pay \$400K to SEC for inflated claims

Laura French March 19, 2024



# AI hype

- Some fake or exaggerated claims.



**Tesla faked self-driving demo, Autopilot engineer testifies**

 [Brandon Vigliarolo](#) Wed 18 Jan 2023 // 20:29 UTC

*The New York Times*

***Google's A.I. Search Errors Cause a Furor Online***

The company's latest A.I. search feature has erroneously told users to eat glue and rocks, provoking a backlash among users.

May 24, 2024



**Google's best Gemini demo was faked**

Devin Coldewey / 2:06 PM PST • December 7, 2023

**LIVESCIENCE**

GPT-4 didn't ace the bar exam after all, MIT research suggests — it didn't even break the 70th percentile

News

By [Ben Turner](#) published May 31, 2024

# AI hype

- Tech fads hyped to MBAs often fizzle financially:

*What happened  
to these?*



Virtual reality

Wearables

Crypto

NFTs

Web3

Big data

IoT

Virtual assistants

# AI hype

- Tech fads hyped to MBAs often fizzle financially:

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Wearables



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Big data  
IoT  
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*These took off*

Email  
World Wide Web  
B2C



Smart phones  
Social media\*

\*But in the wrong direction?





# Beneath the hype

- AI has achieved some remarkable successes:

Image processing  
Language translation  
Speech recognition  
Pattern recognition  
*(e.g., medicine)*  
Recommender systems  
Fraud detection  
Robotics  
*(certain applications)*



# Beneath the hype

- No one can predict future technology



We were supposed to have these long ago

# AI technologies

- AI is fundamentally a combination of **statistics** and **optimization**.
  - *Implemented in code (e.g., Python)*
  - *A variety of technologies*

# AI technologies

- AI is fundamentally a combination of **statistics** and **optimization**.
  - *Implemented in code (e.g., Python)*
  - *A variety of technologies*
- Best known is **machine learning**
  - *Neural networks (“deep learning”)*
  - *Large language models (ChatGPT, Claude, CoPilot, etc.)*
- But there are many others...

# AI technologies

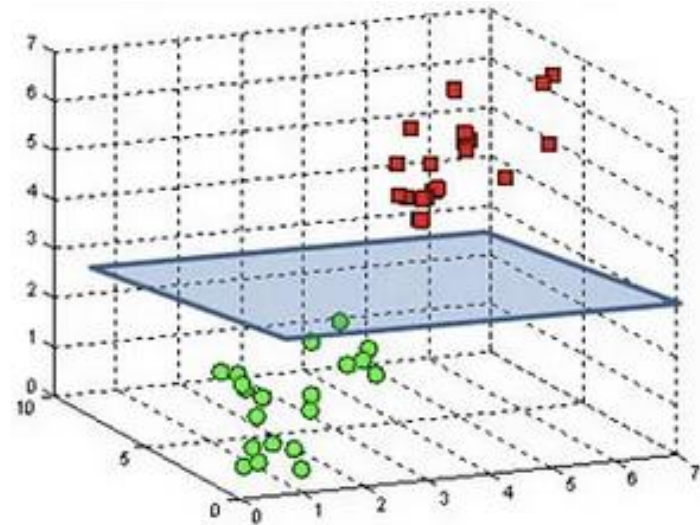
- There are many others...

Example:

## Support vector machines

Used for cancer diagnosis etc.

An optimization method based on hyperplane separation.



# AI technologies

- There are many others...
  - *Major AI conferences receive 8000-12,000 paper submissions each year.*

Neural networks	Transformer models	Image recognition
Convolutional NNs	Principal component analysis	Facial recognition
Recurrent NNs	Singular value decomposition	Computer vision
k-means clustering	Generative AI	Speech recognition
Decision trees	Generative adversarial networks	Recommender systems
Q-learning	Large language models	Automated planning
Support vector machines	Natural language processing	Robotics
Knowledge representation	Speech synthesis	Virtual agents
Optimization	Formal logic	Internet of things
Evolutionary computation	AI-optimized hardware	Inverse reinforcement learning
Markov decision processes		Autoregression
Causal networks		Generative pre-trained transformers (GPTs)
Bayesian inference		
Reverse Bayesian inference		

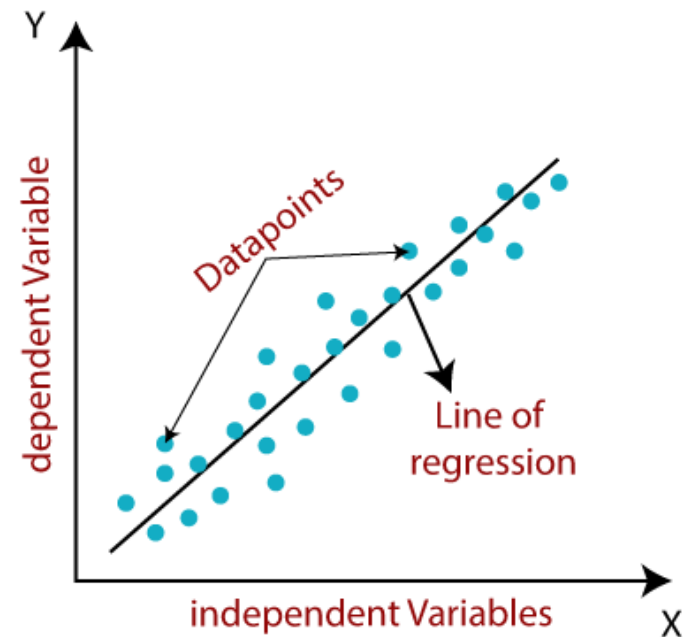
# ML is not magic

- Machine “learning” is **statistical data fitting**

This is a **neural network...**  
with **one neuron**.

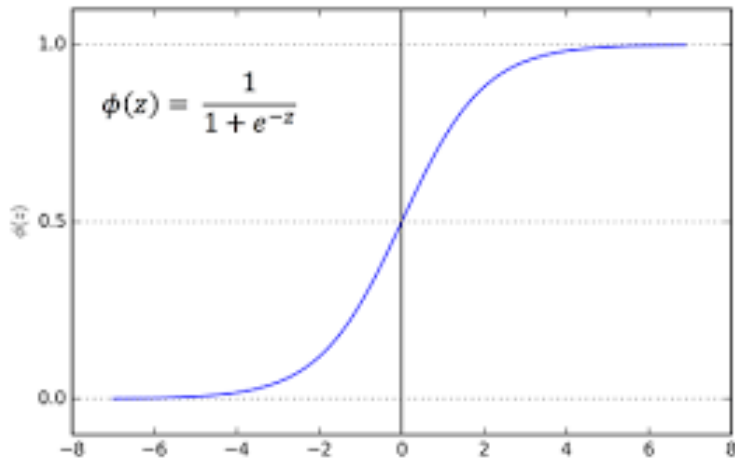
Fit a line  $y = ax + b$  to data.

“**Learn**”  $a$  and  $b$  by solving  
an **optimization problem**  
(least squared error)

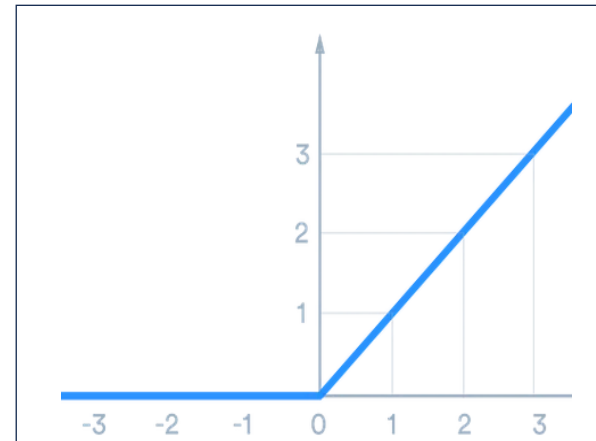


# ML is not magic

- Machine “learning” is **statistical data fitting**
  - *ML normally prefers a nonlinear fit:*



**Sigmoid function**  
Used in early days



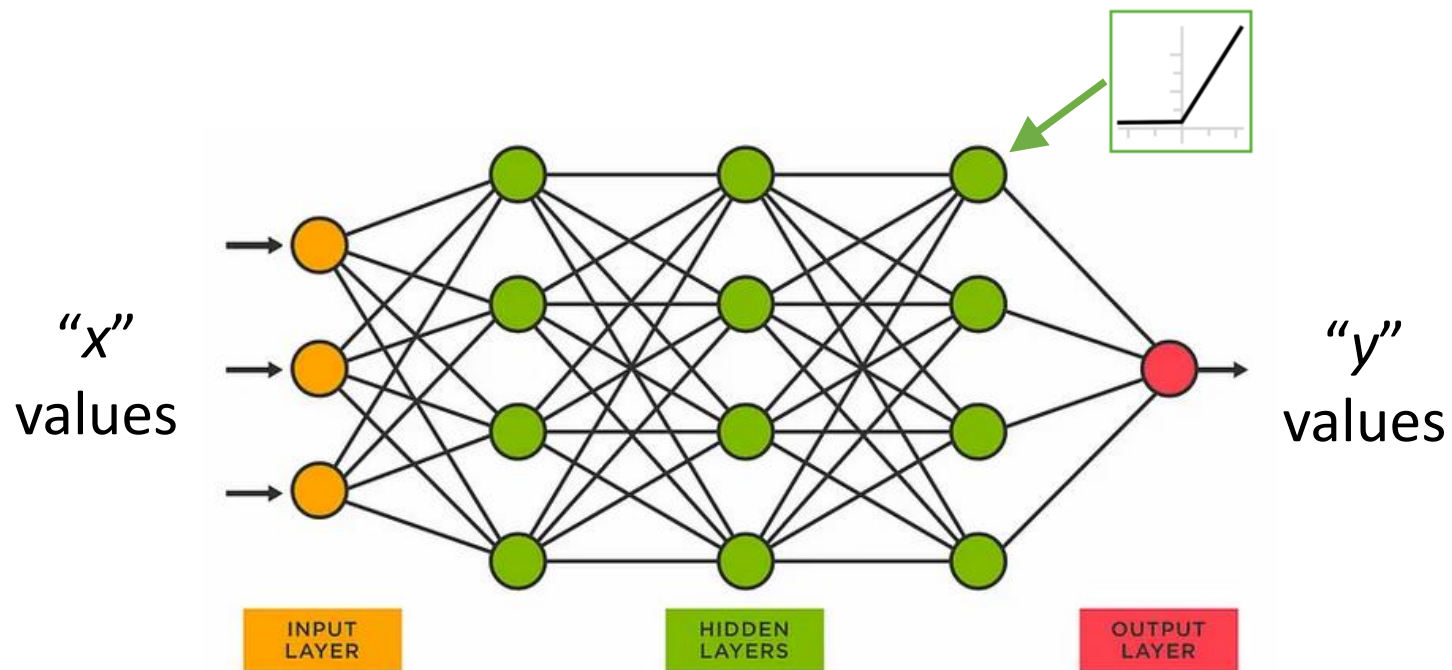
**ReLU function\***  
Popular today

\*Rectified Linear Unit



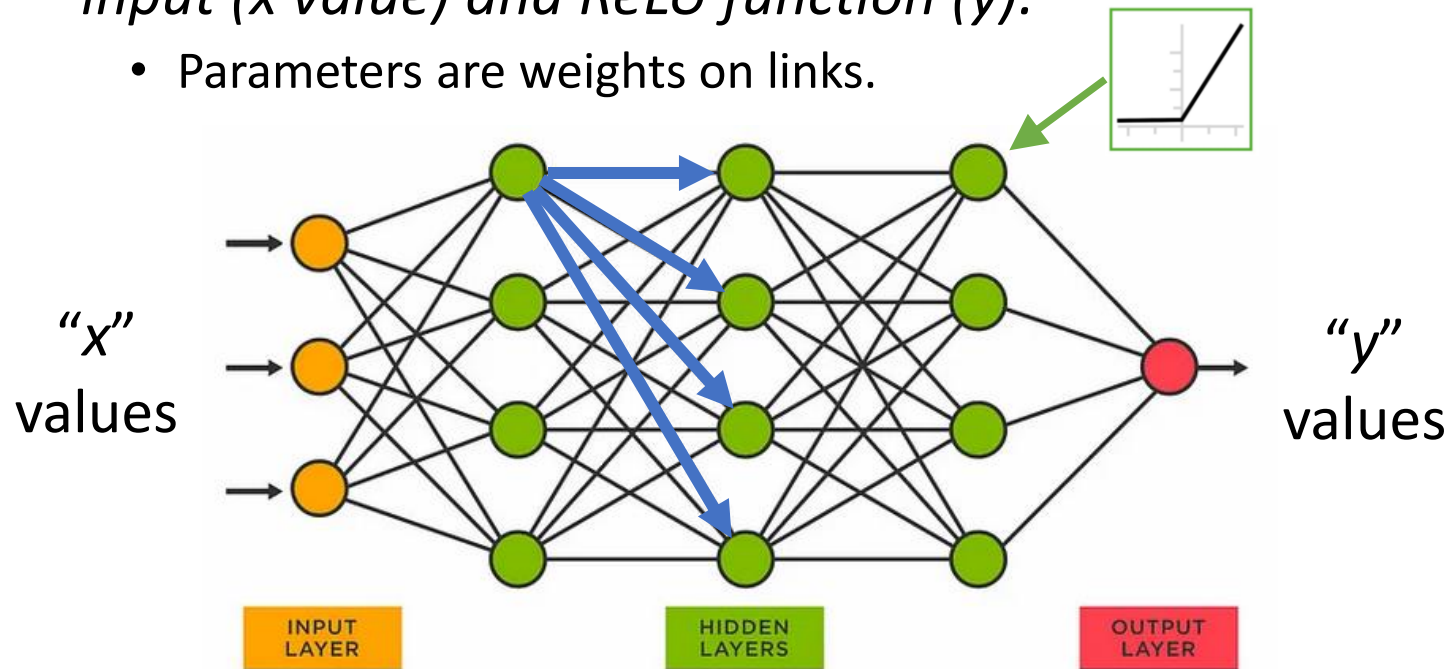
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - *ReLU*s, etc., are linked together in a “neural” network



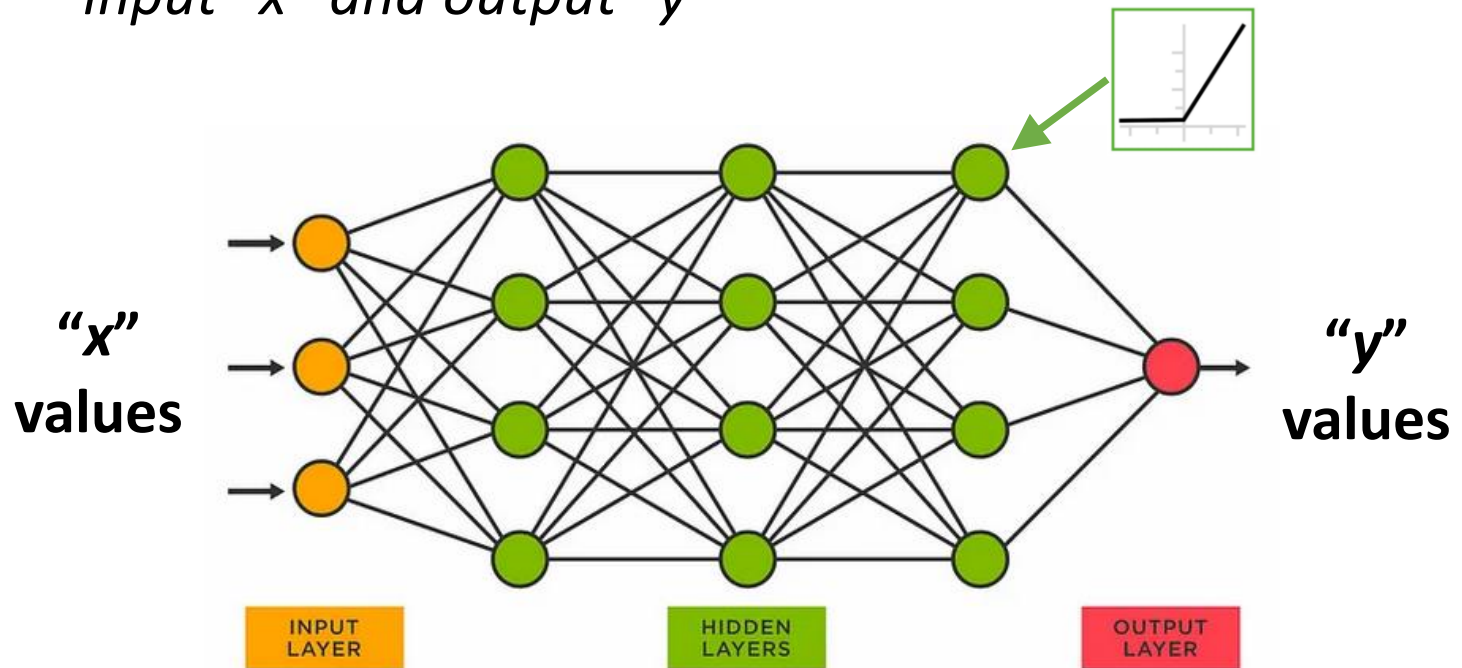
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - *Each neuron sends a signal determined by its input (x value) and ReLU function (y).*
    - Parameters are weights on links.



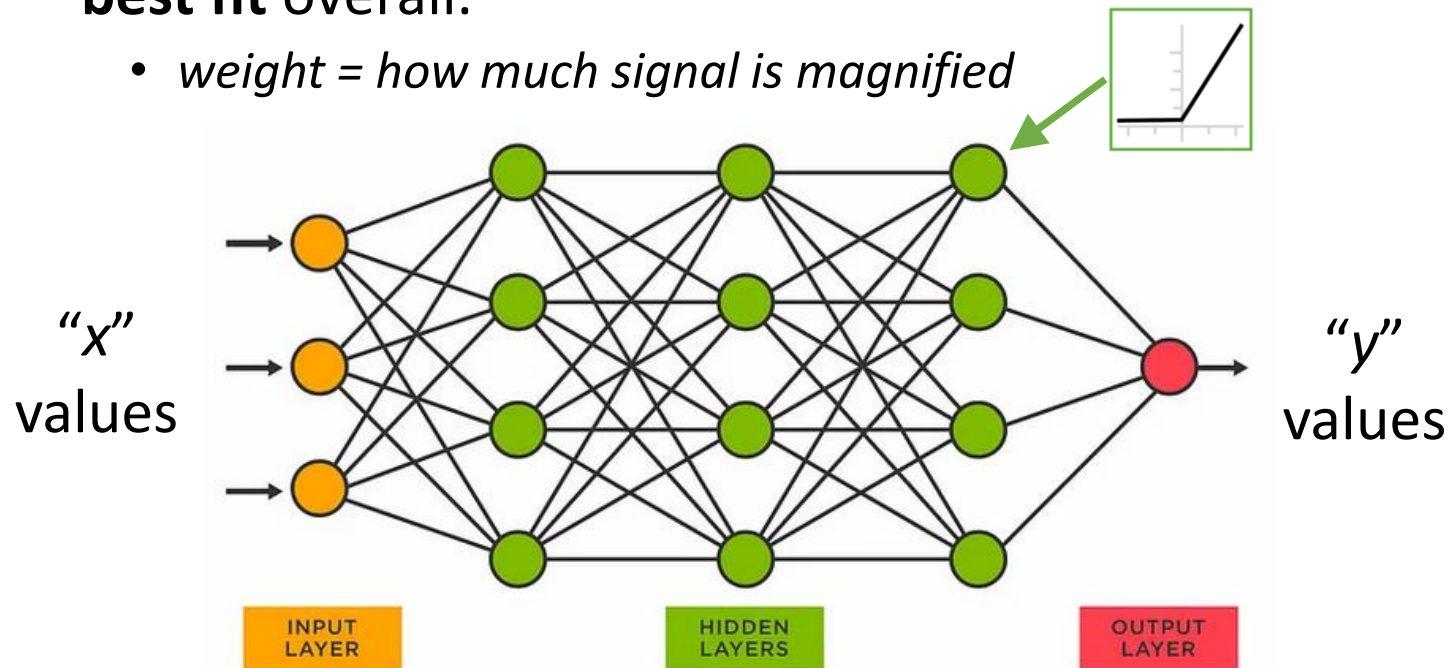
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - *We want to “learn” the relationship between input “x” and output “y”*



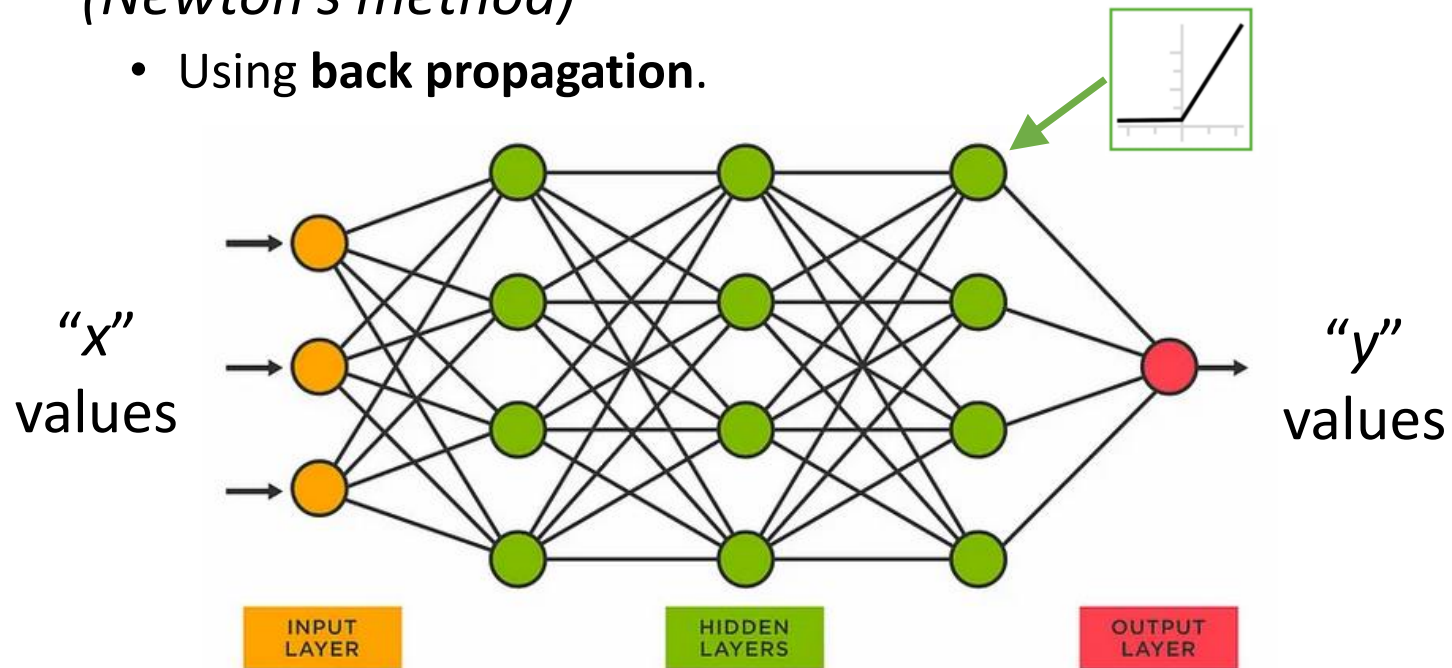
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - So we find the weights on links that give the **best fit** overall.
    - *weight = how much signal is magnified*



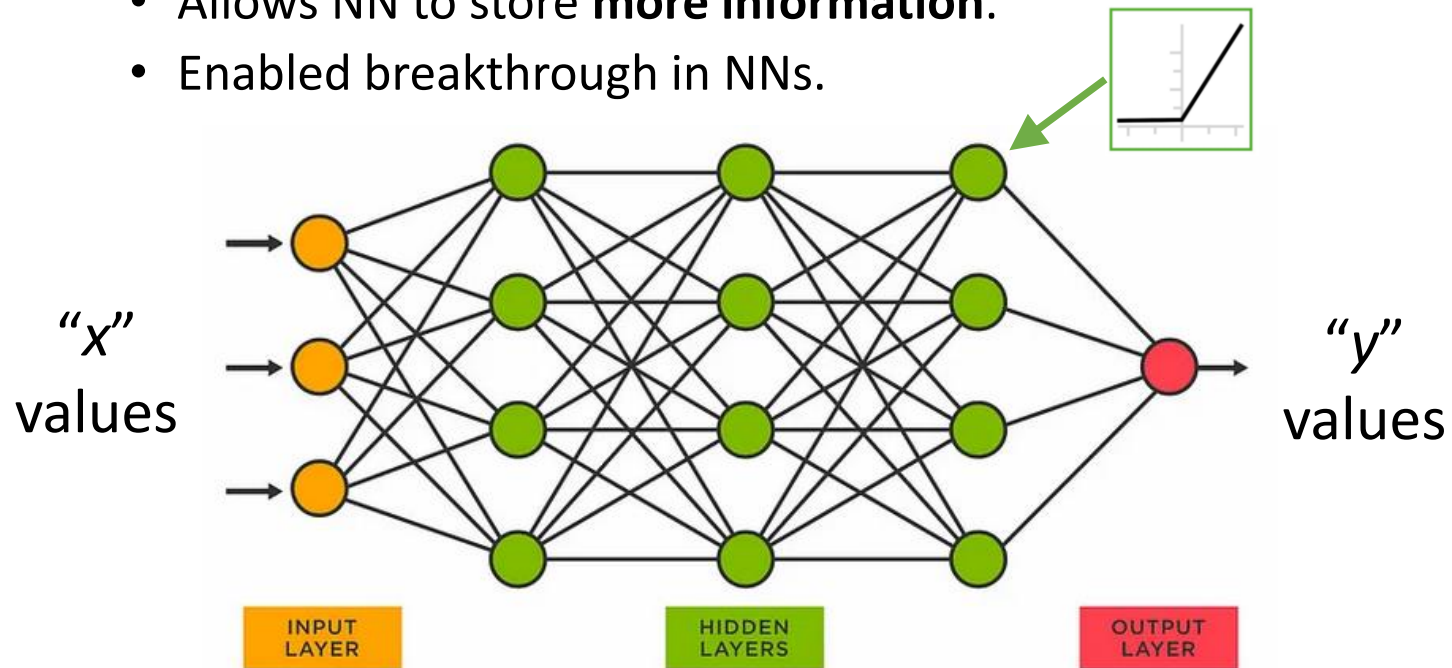
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - *We find best fit with a **gradient descent** algorithm (Newton’s method)*
    - Using **back propagation**.



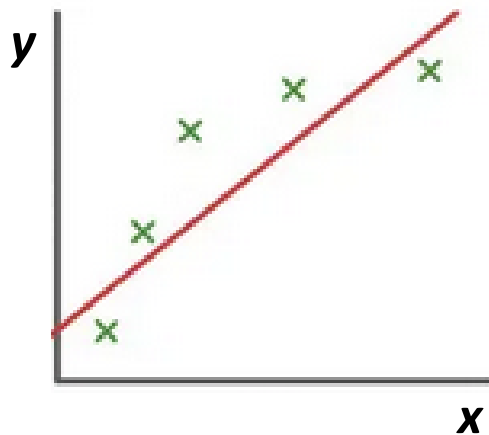
# ML is not magic

- Machine “learning” is **statistical data fitting**
  - “**Deep learning**” = *many layers*
    - Allows NN to store **more information**.
    - Enabled breakthrough in NNs.



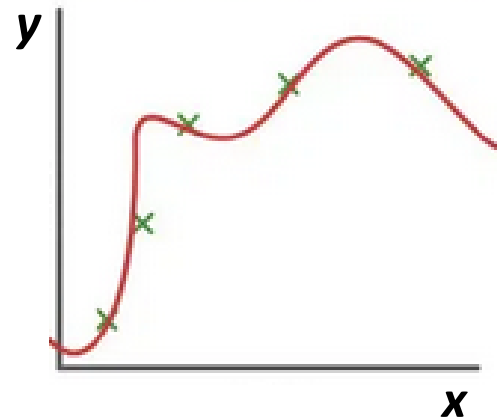
# Overfitting

- This is a **no-no** in classical statistics.
  - *Too many parameters capture **random** variations*
    - and miss the **overall pattern**.



$$y = ax + b$$

2 parameters (too few)

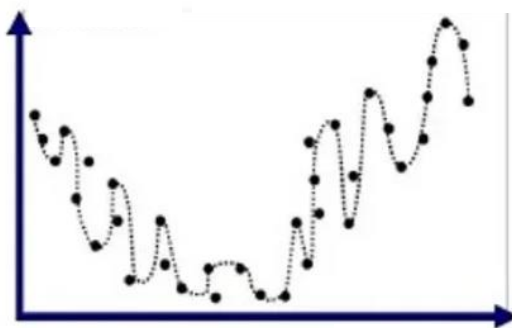


$$y = ax + bx^2 + cx^3 + dx^4 + ex^5$$

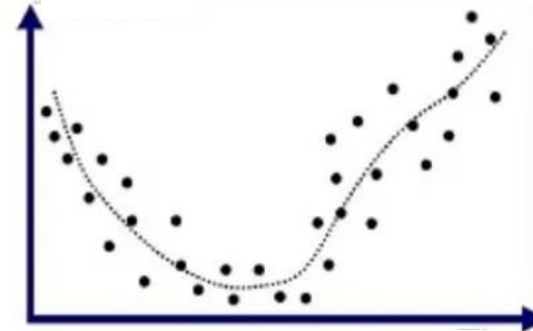
5 parameters (too many)

# Overfitting

- NNs may use **billions** of parameters
  - **More** than the number of data points.
  - Because we don't know in advance which are important.
- *This often **smooths out the fit.***
  - Why? **Unknown.**



Overfit

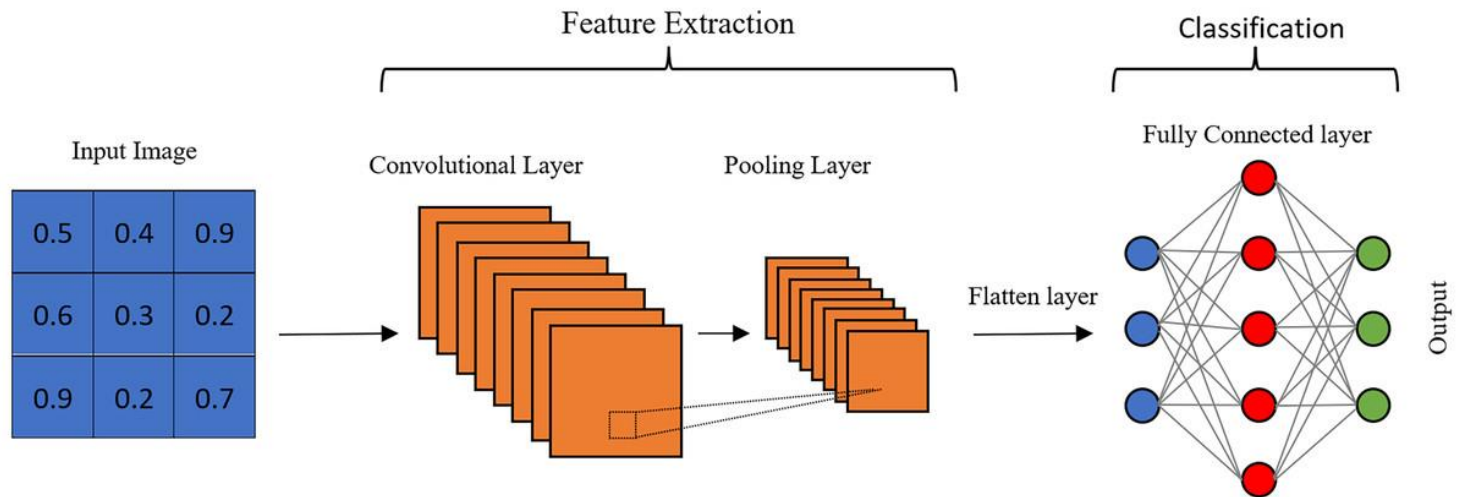


Super overfit



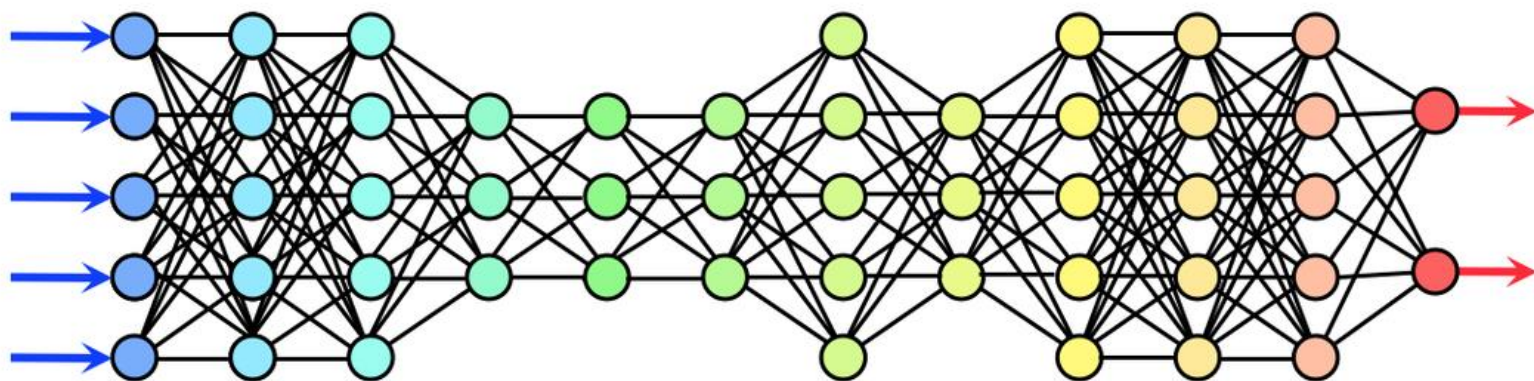
# Trial and error

- The first NN you try probably **won't work**.
  - *It may require **hand coding**.*
    - As in **convolutional** NNs for image processing.
    - Some layers consist of fixed code, **no learning**.



# Trial and error

- The first NN you try probably **won't work**.
  - *It may require **1000s of trials** to get the **right design***
    - Also to address **numerical problems** in gradient descent.
    - Requires **enormous computational power** (“compute”)
    - Trials run **in parallel** on many computers.



# How about ChatGPT, etc.?

- They aren't magic, either.
  - *More on these later...*
    - **LLMs** (Large Language Models)
    - **Generative AI**
    - **GANs** (Generative Adversarial Networks)
    - **Transformers**
    - **GPTs** (Generative Pre-trained Transformers)